

**ARBITRATOR DISCLOSURE CHECKLIST**

Please consult Canon II of the Code of Ethics for Arbitrators in Commercial Disputes regarding your obligation to disclose any interest or relationship likely to affect impartiality or which might create an appearance of partiality. You have a continuing obligation to update this form whenever you recall or discover any information that should be disclosed.

Please explain any "Yes" answers in the space provided below.

Note: The completed form will be available to the parties to this dispute.

**THE DISPUTE**

- Prior knowledge of the dispute.....Yes  No
- Financial interest in the outcome .....Yes  No
- Personal interest in the outcome ..... Yes  No

**PAST OR CURRENT RELATIONSHIPS**

Financial, business, professional, or personal relationships between you and:

- a party to this proceeding .....Yes  No
- a lawyer representing a party to this proceeding .....Yes  No
- the law firm of a lawyer in this proceeding ..... Yes  No
- a co-arbitrator in this proceeding ..... Yes  No
- an individual whom you have been told will be witness..... Yes  No

Relationships between your family or household members<sup>1</sup> and:

- a party to this proceeding ..... Yes  No
- a lawyer representing a party to this proceeding..... Yes  No
- the law firm of a lawyer in this proceeding ..... Yes  No
- a co-arbitrator in this proceeding ..... Yes  No
- an individual whom you have been told will be a witness ..... Yes  No

<sup>1</sup> Canon II(A)(2) of The Code of Ethics for Arbitrators in Commercial Disputes requires prospective arbitrators to disclose “. . . any such relationships involving their families or household members or their current employees, partners, or professional or business associates that can be ascertained by reasonable efforts.” (Emphasis added.)

Relationships between your current employer, partners, or professional or business associates<sup>2</sup> and:

- a party to this proceeding ..... Yes  No
- a lawyer representing a party to this proceeding..... Yes  No
- the law firm of a lawyer in this proceeding ..... Yes  No
- a co-arbitrator in this proceeding ..... Yes  No
- an individual whom you have been told will be a witness ..... Yes  No

**PROSPECTIVE RELATIONSHIPS**

Discussions with a party about prospective employment or compensated service as a dispute resolution neutral ..... Yes  No

Arrangements with a party concerning prospective employment or other compensated service as a dispute resolution neutral ..... Yes  No

**ADDITIONAL REQUIRED DISCLOSURES**

Additional disclosures required by an agreement between the parties..... Yes  No

Additional disclosures required by applicable state or federal law ..... Yes  No

**OTHER**

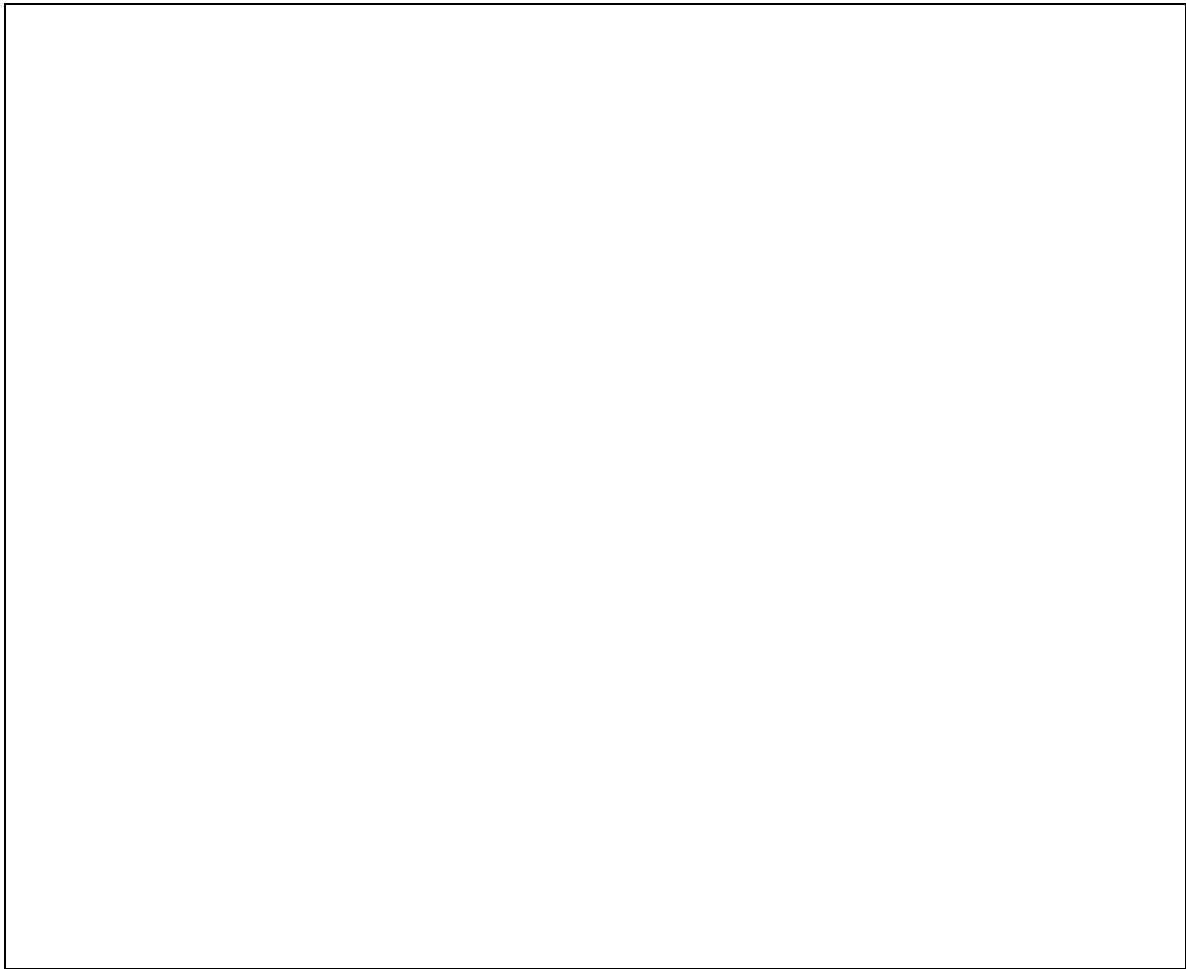
Additional factors that might create an actual or apparent conflict of interest ..... Yes  No

Conditions that might impede my ability to serve..... Yes  No

**DETAILS REGARDING "YES" ANSWERS TO ANY OF THE QUESTIONS ABOVE**

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<sup>2</sup> See footnote 1.



I hereby certify that, to the best of my knowledge, the responses provided above are complete and accurate.

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Arbitrator